

UK Reportable Income – Report to Investors

Reporting Period: Ended 10/31/2023



The classes of shares listed in the table below are registered with HM Revenue & Customs in the UK as ‘reporting funds’. As such, each fund is required to make reports of income to the investors. There may be a requirement for you to pay tax as though you had actually received a distribution from the fund.

If you are subject to UK taxation (unless you are a non-domiciled individual paying tax on the remittance basis), you will be required to pay tax on the share of income reported to you in this notice. If you are uncertain you should seek advice from your tax advisor.

For UK tax purposes, you are treated as receiving income on the ‘Fund Distribution Date’ reported below. You should therefore include this income in your tax return for the tax year which includes that date.

The amount of income treated as received by you will be the ‘Excess Reportable Income’ reported below, multiplied by the number of shares you held at 31 October 2023.

Currency	Excess Reportable Income	Fund Distribution Date	For the purposes of Regulation 92(1): Does the fund remain a reporting fund at the fund distribution date?	Amount Actually Distributed to Participants Per Share	Dates on Which Distributions Were Made
Harbor Scientific Alpha High-Yield ETF					ISIN: US41151J1097
USD	0.5968	4/30/2024	Yes	0.2615 0.3213 0.2636 0.0514 0.3487 0.2613 0.2860 0.2828 0.2639 0.2767 0.2751 0.2738	12/6/2022 12/27/2022 2/6/2023 3/6/2023 4/6/2023 5/4/2023 6/6/2023 7/7/2023 8/4/2023 9/7/2023 10/5/2023 11/6/2023
Harbor Scientific Alpha Income ETF					ISIN: US41151J2087
USD	0.6102	4/30/2024	Yes	0.1491 0.3839 0.1526 0.1711 0.2297 0.1779 0.2072 0.1937 0.1161 0.1215 0.1239 0.1906	12/6/2022 12/27/2022 2/6/2023 3/6/2023 4/6/2023 5/4/2023 6/6/2023 7/7/2023 8/4/2023 9/7/2023 10/5/2023 11/6/2023

The information should not be construed as specific tax, legal, or investment advice. If you have questions, please consult with your tax adviser to determine the appropriate use of this information for your tax situation.

This material is intended for investors outside of the United States and does not constitute an offer or solicitation in any jurisdiction in which such an offer or solicitation would be unlawful under the securities law of such jurisdiction.